

## CHECKLIST

Kindly take the time and use this checklist carefully, which should help prevent any delays throughout the procedure of opening your Cresco Capital Markets (UK) Ltd. Account, which may be caused by missing information and/or paperwork. Thank you.

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### STEP 1

- Please complete the application form pages 3 - 14
- Please sign pages 7, 8, 9, 10 and 14
- Initial Terms of Business pages 1 - 9
- Sign Terms of Business page 9

Please check

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### STEP 2

Page 3 to 6: Personal Information, Professional activity, Financial Information and Trading Knowledge and Experience

- Please make sure that you complete all the relevant fields
- Please provide full name and address of the company you work(ed) for and your job title.

Please check

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### STEP 3

- All check-boxes on Page 10 should be checked.

Please check

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### STEP 4

Page 8: This form serves to establish the final ownership of the funds on account.

- If the corporation is the sole and final owner of assets transferred, please check the first box (do NOT complete the mid-section), check first submission and continue to signature, providing place (town or city where you signed the document), date and signature (matching the signature on your passport).
- If another party besides the corporation can claim ownership, please complete a second A-Form, check the second box and complete the mid-section (details for additional owner), check "first submission" and continue to signature, providing place (town or city where you signed the document), date and signature (matching the signature on your passport).

Please check

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### STEP 5

Additional Documents

- **Passport:** Must be an original notarized copy of a valid passport (or a National ID for a European citizen) certified by a public notary, Consulate or Embassy. Photograph and signature must be visible. The notarization must have been made less than 6 months ago.
- **Utility bill:** (i.e. electricity, gas or phone bill) that verifies the name and address on the account opening application form. This document must be less than 6 months old.

Please check

Please check

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If you have any questions regarding this check list or any part of the application, please don't hesitate to contact us. Our knowledgeable Account Executives are available 24hrs and are looking forward to assist you with your questions.

Please send completed customer agreement by post or courier service to the following address:

**Cresco Capital Markets (UK) Ltd.  
21 Arlington Street, London SW1A 1RN  
UK**

# **Cresco Capital Markets (UK) Ltd.**

## **ACCOUNT OPENING DOCUMENTATION For Personal and Joint Account**

### **Personal Details**

Cresco Capital Markets (UK) Ltd.  
21 Arlington Street, London SW1A 1RN, UK  
Website: [www.crescofx.com](http://www.crescofx.com)

Tel: +44 (0) 20 7952 68 25  
Email: [admin@crecofx.com](mailto:admin@crecofx.com)

(Please complete all fields)

The undersigned lawfully represents the following Client:

**Account Holder 1:**

<b>Title</b>	<b>Name</b>	<b>Surname</b>
<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Dr	_____	_____

<b>Date of Birth</b>	<b>Number of Children under 16 years</b>	<b>Marital Status</b>
_____	<input type="checkbox"/> None <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> +3	<input type="checkbox"/> Single <input type="checkbox"/> Married

<b>Mobile number</b>	<b>Telephone number</b>	<b>Email address</b>
_____	_____	_____

<b>Residential Address</b>
_____

<b>City and Postcode</b>	<b>Country</b>	<b>Nationality</b>
_____	_____	_____

**Experience and Knowledge Account Holder 1**

*In order to be fully compliant with international AML/CFT standards, we request that you kindly complete the following with the greatest accuracy (any information and document you provide us is for internal use only and will be treated with absolute confidentiality).*

<b>Employment Status</b>	<b>Employers Name and Address</b>	<b>Business Sector and Positions held</b>
<input type="checkbox"/> Employed <input type="checkbox"/> Self-employed <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Unemployed	Employers Name: _____ Employers Address: _____	Sector: _____ Position: _____

<b>How would you rate your FX trading experience?</b>	<b>How many trades do you place?</b>	<b>Other Investment Experience</b>
<input type="checkbox"/> No knowledge and experience <input type="checkbox"/> Basic knowledge and experience (< 1 year) <input type="checkbox"/> Experiences ( 1 – 5 years) <input type="checkbox"/> Very Experienced ( +5 years)	<input type="checkbox"/> 0 – 5 trades per month <input type="checkbox"/> 5 – 10 trades per month <input type="checkbox"/> 10 – 50 trades per month <input type="checkbox"/> 50 – 100 trades per month <input type="checkbox"/> +100 trades per month	<input type="checkbox"/> Options &/or Futures <input type="checkbox"/> Equities <input type="checkbox"/> Fixed Income <input type="checkbox"/> Commodities <input type="checkbox"/> Structured Products &/or Funds

<b>Average Trade Size</b>
<input type="checkbox"/> less than 100K <input type="checkbox"/> 100K – 500K <input type="checkbox"/> 500K – 1 million <input type="checkbox"/> 1 million – 5 million <input type="checkbox"/> +5 million

## Financial Information

The following information serves Cresco Capital Markets (UK) Ltd. to determine your risk capital. All information is treated with strict confidentiality.

<p><b>Annual Net Income (all sources) (USD)</b></p> <p><input type="checkbox"/> &lt; 50,000   <input type="checkbox"/> 50,001 – 100,000   <input type="checkbox"/> 100,001 – 250,000</p> <p><input type="checkbox"/> 250,001 – 500,000   <input type="checkbox"/> +500,000</p>	<p><b>Major Sources of income</b></p> <p><input type="checkbox"/> Employment   <input type="checkbox"/> Rental income   <input type="checkbox"/> Business Profit</p> <p><input type="checkbox"/> Investments   <input type="checkbox"/> Others</p> <p>If others please specify:</p> <hr/>
<p><b>Estimated Net Worth (assets less liabilities) (USD)</b></p> <p><input type="checkbox"/> &lt; 250,000   <input type="checkbox"/> 250,001– 500,000   <input type="checkbox"/> 500,001–1,000,000</p> <p><input type="checkbox"/> 1,000,000–2,000,000   <input type="checkbox"/> +2,000,000</p>	<p><b>Source of planned investment amount</b></p> <p><input type="checkbox"/> Fixed Assets   <input type="checkbox"/> Investment Portfolio</p> <p><input type="checkbox"/> Bank Deposits   <input type="checkbox"/> Others</p> <p>If others please specify:</p> <hr/>

## Investment Objective

<p><b>Which Investment objective describes your investment?</b></p> <p><input type="checkbox"/> Capital preservation but lower returns</p> <p><input type="checkbox"/> Capital Growth with moderate risk</p> <p><input type="checkbox"/> Above average Capital growth with high risk</p>	<p><b>What degree of risk have you taken in the past?</b></p> <p><input type="checkbox"/> Low   <input type="checkbox"/> Medium   <input type="checkbox"/> High</p>
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<p><b>Are you a PEP?</b></p> <p><input type="checkbox"/> Yes   <input type="checkbox"/> No</p>	<p><b>If yes please specify:</b></p> <hr/>
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**Account Holder 2 (Joint Account):**

<b>Title</b>	<b>Name</b>	<b>Surname</b>
<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Dr	_____	_____

<b>Date of Birth</b>	<b>Number of Children under 16 years</b>	<b>Marital Status</b>
_____	<input type="checkbox"/> None <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> +3	<input type="checkbox"/> Single <input type="checkbox"/> Married

<b>Mobile number</b>	<b>Telephone number</b>	<b>Email address</b>
_____	_____	_____

<b>Residential Address</b>
_____

<b>City and Postcode</b>	<b>Country</b>	<b>Nationality</b>
_____	_____	_____

**Experience and Knowledge Account Holder 2**

*In order to be fully compliant with international AML/CFT standards, we request that you kindly complete the following with the greatest accuracy (any information and document you provide us is for internal use only and will be treated with absolute confidentiality).*

<b>Employment Status</b>	<b>Employers Name and Address</b>	<b>Business Sector and Positions held</b>
<input type="checkbox"/> Employed <input type="checkbox"/> Self-employed <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Unemployed	Employers Name: _____ Employers Address: _____ _____	Sector: _____  Position: _____

<b>How would you rate your FX trading experience?</b>	<b>How many trades do you place?</b>	<b>Other Investment Experience</b>
<input type="checkbox"/> No knowledge and experience <input type="checkbox"/> Basic knowledge and experience (< 1 year) <input type="checkbox"/> Experiences ( 1 – 5 years) <input type="checkbox"/> Very Experienced ( +5 years)	<input type="checkbox"/> 0 – 5 trades per month <input type="checkbox"/> 5 – 10 trades per month <input type="checkbox"/> 10 – 50 trades per month <input type="checkbox"/> 50 – 100 trades per month <input type="checkbox"/> +100 trades per month	<input type="checkbox"/> Options &/or Futures <input type="checkbox"/> Equities <input type="checkbox"/> Fixed Income <input type="checkbox"/> Commodities <input type="checkbox"/> Structured Products &/or Funds

<b>Average Trade Size</b>
<input type="checkbox"/> less than 100K <input type="checkbox"/> 100K – 500K <input type="checkbox"/> 500K – 1 million <input type="checkbox"/> 1 million – 5 million <input type="checkbox"/> +5 million

## Financial Information

The following information serves Cresco Capital Markets (UK) Ltd. to determine your risk capital. All information is treated with strict confidentiality.

<p><b>Annual Net Income (all sources) (USD)</b></p> <p><input type="checkbox"/> &lt; 50,000   <input type="checkbox"/> 50,001 – 100,000   <input type="checkbox"/> 100,001 – 250,000</p> <p><input type="checkbox"/> 250,001 – 500,000   <input type="checkbox"/> +500,000</p>	<p><b>Major Sources of income</b></p> <p><input type="checkbox"/> Employment   <input type="checkbox"/> Rental income   <input type="checkbox"/> Business Profit</p> <p><input type="checkbox"/> Investments   <input type="checkbox"/> Others</p> <p>If others please specify: _____</p>
<p><b>Estimated Net Worth (assets less liabilities) (USD)</b></p> <p><input type="checkbox"/> &lt; 250,000   <input type="checkbox"/> 250,001– 500,000   <input type="checkbox"/> 500,001–1,000,000</p> <p><input type="checkbox"/> 1,000,000–2,000,000   <input type="checkbox"/> +2,000,000</p>	<p><b>Source of planned investment amount</b></p> <p><input type="checkbox"/> Fixed Assets                      <input type="checkbox"/> Investment Portfolio</p> <p><input type="checkbox"/> Bank Deposits                      <input type="checkbox"/> Others</p> <p>If others please specify: _____</p>

## Investment Objective

<p><b>Which Investment objective describes your investment?</b></p> <p><input type="checkbox"/> Capital preservation but lower returns</p> <p><input type="checkbox"/> Capital Growth with moderate risk</p> <p><input type="checkbox"/> Above average Capital growth with high risk</p>	<p><b>What degree of risk have you taken in the past?</b></p> <p><input type="checkbox"/> Low                      <input type="checkbox"/> Medium                      <input type="checkbox"/> High</p>
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<p><b>Are you a PEP?</b></p> <p><input type="checkbox"/> Yes   <input type="checkbox"/> No</p>	<p><b>If yes please specify:</b></p> <p>_____</p>
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## Security check questions

(These questions and answers, meant for your security, will serve to assertively identify you when communicating sensitive information (ex. a password) over the phone):

Mother's maiden name	Father's city of birth	First name of spouse
_____	_____	_____

Account currency denomination:  USD  EUR  GBP  CAD  
(Any funds sent to the account will be converted in the reference currency)

I declare that the information contained in this Account Opening Documentation is true and accurate and certify that the signature(s) is/are genuine and that any photocopies, including copies of the verification of identity documents forwarded are identical with the corresponding originals. I, the contracting partner, am obligated to inform Cresco Capital Markets (UK) Ltd. immediately in case of any changes. I acknowledge that cash payments cannot be executed via this account.

The contracting partner is obligated to inform Cresco Capital Markets (UK) Ltd. immediately in case of any changes. The contracting partner certifies on their honour that the invested funds have not been generated by any criminal or illegal activity

Place (city): \_\_\_\_\_

Date : \_\_\_\_ / \_\_\_\_ / 20 \_\_\_\_

Signature Account Holder 1: \_\_\_\_\_

Signature Account Holder 2: \_\_\_\_\_

## Establishment of the Beneficial Owner's Identity

**The Client hereby declares:**

(Please indicate by checking **only one box** below)

- That the contracting partner is the only final beneficial owner of the funds deposited with Cresco Capital Markets Ltd.
- That the final beneficial owner of the funds deposited with Cresco Capital Markets (UK) Ltd. is (*If an individual*, please provide: First Name, Last Name, Date of Birth, Nationality and Residency Address; *If a company*, please provide: Company name, Legal Form, Country and Date of incorporation and registered address; *If multiple*, please complete one separate form per beneficial owner):

Title	Name	Surname
<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Dr	_____	_____

Date of Birth	Residential Address
_____	_____

City and Postcode	Country	Nationality
_____	_____	_____

Mobile number	Telephone number	Email address
_____	_____	_____

The Client is obligated to inform Cresco Capital Markets (UK) Ltd. immediately in case of any changes.

**Important notes:**

The concept “**beneficial owner**” refers to the person who is the ultimate owner of the deposited assets. The beneficial owner does not necessarily have to be granted power of attorney or signatory authority for the business relationship. This form is in compliance with International Standards of Anti Money Laundering Procedures. Intentionally providing false information when filling in form can therefore result in criminal penalties.

Place (city): \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/20\_\_\_\_

Signature Account Holder 1: \_\_\_\_\_

Signature Account Holder 2: \_\_\_\_\_



## **General Risk Disclosure Statement**

The Client shall be aware in particular of the following points:

1. The risk of loss in investing in spot foreign exchange can be substantial. Client shall carefully consider whether such investments are suitable in the light of the relevant circumstances and financial resources.
2. A stop-loss serves to limit one's losses. However, should the market move against Client's position, the latter may in a relatively short time sustain a total loss of funds placed by way of margin or deposit with the Company. Alternatively, Client may be called upon to deposit a substantial additional margin, at short notice, to maintain his position. If such additional funds are not provided within the time required, the Client's positions may be liquidated at a loss and he will be liable for any resulting deficit;
3. Certain market conditions may make it difficult or impossible to execute orders at a stipulated price;
4. Client orders may be executed outside a regulated market or a multilateral trading facility and the Client consents to such execution;
5. A spread position may be as risky as a simple long (or short) position and can be more complex;
6. The use of leverage can lead to large losses as well as gains;
7. A bank or broker through whom the Company deals on Client's behalf, may also be a dealer trading for its own account in the same market, in which case its own account involvement could be contrary to Client's interests;
8. The insolvency of the Company or of a bank or broker used by the Company to effect transactions on Client's behalf may lead to positions being closed out against Client's wishes;
9. The Client hereby acknowledges that transactions undertaken through the Services of the Company may be of a speculative nature. They may give rise to large losses within a relatively short period of time, which cannot be forecast and which may sustain a total loss of the funds deposited with the Company. These losses may be attributed to adverse market movements, to position build-up or to the accumulation of commission and charges relating to the transactions;
10. The Client acknowledges that, due to their nature, certain transactions executed on his instruction by the Company may not be readily realizable in the case of a currency traded so irregularly or infrequently that it cannot be certain that a price will be quoted at all times or that it may be difficult to effect transactions at a price which may be quoted owing to an absence of a counter party;
11. Trading on-line, no matter how convenient or efficient, does not necessarily reduce risks associated with currency trading;
12. The spot foreign exchange market is highly volatile and within a short period of time this volatility can cause a total loss of funds;

This brief statement cannot disclose all risks of investments in spot foreign exchange. You shall carefully consider such an investment before you commit funds for spot foreign exchange dealing.

I have read, understood and accepted the Risk Disclosure Statement as set out above.

**Place (city):** \_\_\_\_\_ **Date:** \_\_\_\_ / \_\_\_\_ / 20 \_\_\_\_

**Signature Account Holder 1:** \_\_\_\_\_

**Signature Account Holder 2:** \_\_\_\_\_

## Declaration of Compliance

By signing this document the undersigned declares the following:

1. The deposits on an account with Cresco Capital Markets (UK) Ltd. are to be considered as Risk capital by Cresco Capital Markets (UK) Ltd.
2. No deposits have been made with Cresco Capital Markets (UK) Ltd. with money that was borrowed, urgently needed or generated by any criminal activity.
3. The spot foreign exchange market is highly volatile and within a short period of time this volatility can cause a total loss of funds.
4. Cresco Capital Markets (UK) Ltd. is an execution only broker, and will not take decisions or enter into positions for Clients. The client also confirms that he manages his own account even though Cresco Capital Markets (UK) Ltd. may receive his orders through an agent. Cresco Capital Markets (UK) Ltd. reserves the right to check any order before execution.
5. Cresco Capital Markets (UK) Ltd. is an execution only broker and cannot be held responsible for any result of any transaction, positive or negative, on the Client's account.
6. Cresco Capital Markets (UK) Ltd. does not pay nor deliver at the expiry of transactions, currencies or precious metals, related to the transactions carried out by the Client. No physical emission of currencies or precious metals is carried out by Cresco Capital Markets (UK) Ltd.
7. Cresco Capital Markets (UK) Ltd. might have positions in the same market movement as the Client. On the contrary, Cresco Capital Markets (UK) Ltd. might as well have positions that speculate on a move opposite to the direction the Client speculates on.
8. The contracts and attached documents signed by the Client were well read, understood and all conditions have been accepted by the client. In case of any questions a translation of a particular document was offered to the Client.
9. In case of questions, the Client will always be able to contact the compliance officer or Cresco Capital Markets (UK) Ltd. .
10. The Client has prior experience trading in volatile markets.

I understand:

- |  |   |
|--|---|
| 1. Risk of loss  | <input checked="" type="checkbox"/> YES |
| 2. Cresco Capital Markets (UK) Ltd.'s margin policy      | <input checked="" type="checkbox"/> YES |
| 3. Cresco Capital Markets (UK) Ltd.'s liquidation policy | <input checked="" type="checkbox"/> YES |

Cresco Capital Markets (UK) Ltd. offers a **MAXIMUM** leverage of 100:1, or 1% margin\*.

**If my margin level goes below my current margin requirement\*\* or in other words crosses my margin liquidation level ALL my positions will be automatically closed**, without notice or attempts from Cresco Capital Markets (UK) Ltd. .to contact me.

By ticking this box, I understand and accept the automatic liquidation policy. **YES**

Please note that all of the 4 above boxes must be checked in order for Cresco Capital Markets (UK) Ltd. to be able to process your account opening.

\* Cresco Capital Markets (UK) Ltd. reserves the right to modify margin requirements in any market condition, especially characterized by particular lack of liquidity or volatility on all currency pairs being traded with due notice given to the client, the client's duly authorized representative or attorney at any time.

\*\* The client is solely responsible for monitoring his margin requirements which could be changed at any time by Cresco Capital Markets (UK) Ltd. in regards to amounts on deposit as well as opened positions. A list of margin requirements and liquidation levels is available upon request and visible on our website.

Place (city): \_\_\_\_\_ Date : \_\_\_\_ / \_\_\_\_ / 20 \_\_\_\_

Signature Account Holder 1: \_\_\_\_\_

Signature Account Holder 2: \_\_\_\_\_

## **Indemnity in respect of instructions given by means of telecommunications**

The Client requests Cresco Capital Markets (UK) Ltd. to execute upon receipt instructions conveyed by telephone, facsimile, email or any similar means of communication that the Client, its attorneys or duly authorized representatives shall give to Cresco Capital Markets (UK) Ltd. , even if these instructions are not followed by a confirmation in writing.

Cresco Capital Markets (UK) Ltd. does not accept any liability in case of misunderstanding, error in the identification of the person giving the instruction or other errors on its part which may involve losses or other inconveniences for the Client.

The Client acknowledges that any and all instructions given by one of its attorneys or duly authorized representatives are considered by Cresco Capital Markets (UK) Ltd. as the Client's instructions, even if the given instructions incur any losses or other damage to the Client.

If you undertake transactions on an electronic system, you will be exposed to risks associated with the system including the failure of hardware and software. The result of any system failure may be that your order is either not executed according to your instructions or is not executed at all. Cresco Capital Markets (UK) Ltd. does not accept any liability in the case of such a failure.

Cresco Capital Markets (UK) Ltd. reserves the right not to execute instructions transmitted by telephone, fax or email. Telephone conversations may be recorded, and you will accept such recordings as conclusive and binding evidence of the instructions.

**Place (city):** \_\_\_\_\_ **Date :** \_\_\_\_ / \_\_\_\_ / 20 \_\_\_\_

**Signature Account Holder 1:** \_\_\_\_\_

**Signature Account Holder 2:** \_\_\_\_\_

## **Account Holder(s) Declarations**

I/We understand that willfully entering false information on this document is a criminal offence.

I/We declare to have read and fully understood:

- 1) The characteristics of the investor profile, product and services provided by Cresco Capital Markets (UK) Ltd.
- 2) The entire Terms of Business, Risk Disclosure, Declaration of Compliance and all sections of the Application Form and that I/we accept them as governing our business relationship with Cresco Capital Markets (UK) Ltd.
- 3) I/We confirm that Cresco Capital Markets (UK) Ltd. has sufficiently and appropriately explained the nature and degree of risk involved.
- 4) I/We understand that Cresco Capital Markets (UK) Ltd. retains the right to amend these documents at any time by appropriate notice

**Place (city):** \_\_\_\_\_ **Date :** \_\_\_\_ / \_\_\_\_ / 20 \_\_\_\_

**Signature Account Holder 1:** \_\_\_\_\_

**Signature Account Holder 2:** \_\_\_\_\_



**Client's Bank Account Coordinates**

(Mandatory information required for partial or total refund of Client funds)

<b>Bank Name</b>	<b>Bank Address</b>	
_____	_____	
<b>City and Postcode</b>	<b>Country</b>	<b>Telephone Number</b>
_____	_____	_____
<b>SWIFT/BIC/ABA</b>	<b>IBAN or Account Number</b>	<b>Name of Account Holder</b> (must be the same as customer)
_____	_____	_____

The Client authorizes Cresco Capital Markets (UK) Ltd. to close out any open positions relative to his account should the Client desire a partial or total refund of his remaining funds.

**Specimen of account holder's signature:**

\_\_\_\_\_

Please provide us the amount of your first transfer in order for us to track it faster:

Amount: \_\_\_\_\_  USD  EUR  GBP  CAD

**Account type:**  RETAIL  PROFESSIONAL  INSTITUTIONAL

**Where did you hear about us?**  
(Please select as many as apply)

- Existing CCM Client     Media Report  
 Print Advertising     Web Search: \_\_\_\_\_  
 Friend/Referral     Other: \_\_\_\_\_



**Individual tax residency self-certification FORM - (please complete parts 1-3 in BLOCK CAPITALS)**

**Please note that the Tax Reporting section is mandatory for an account to be opened.**

FATCA aims to collect information on U.S tax residents using foreign accounts. It requires financial institutions outside of the U.S to pass information about their U.S customers to the U.S. tax authorities.

CRS/DCA2 reporting requires financial institutions to exchange non-resident financial account information with the tax authorities in the account holders' country of residence.

**Part 1 – FATCA**

**A. Is/Are the account holder/s tax resident/s of the United States of America?**

Yes     No

If yes please supply the relevant Tax Number/s: \_\_\_\_\_

**B. What is the primary tax residency of the account holder/s?**

\_\_\_\_\_  
\_\_\_\_\_

**C. Please supply the relevant tax number/s:**

\_\_\_\_\_  
\_\_\_\_\_

**D. Is/Are the account holder resident for tax purposes of any other country not mentioned above?**

Yes     No    If Yes, please specify below:

Country of Tax Residency	Tax Number

**Part 2 – CRS/DCA 2**

**Country of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number\* (“TIN”)**

Please complete the following table indicating (i) where the Account Holder is tax resident and (ii) the Account Holder’s TIN for each country indicated.

If the Account Holder is tax resident in more than three countries please use a separate sheet  
If a TIN is unavailable please provide the appropriate reason A, B or C where indicated below:

**Reason A** - The country where the Account Holder is liable to pay tax does not issue TINs to its residents

**Reason B** - The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

**Reason C** - No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

	Country of tax residence	TIN	If no TIN available enter Reason A, B or C
1.			
2.			
3.			

Please explain in the following boxes why you are unable to obtain a TIN if you selected reason above.

1.	
2.	
3.	

**Part 3 – Declarations and Signature\***

I/We understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with Cresco Capital Markets (UK) Ltd. setting out how Cresco Capital Markets (UK) Ltd. may use and share the information supplied by me.

I/We acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I/We certify that I am the Account Holder (or am authorized to sign for the Account Holder) of all the account(s) to which this form relates.

I/We declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete. I undertake to advise Cresco Capital Markets (UK) Ltd. within 10 business days of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect or incomplete, and to provide Cresco Capital Markets (UK) Ltd. with a suitably updated self-certification and Declaration within up to 20 business days of such change in circumstances.

**Signature Account Holder 1:** \_\_\_\_\_

**Signature Account Holder 2:** \_\_\_\_\_

**Date :** \_\_\_\_ / \_\_\_\_ / 20 \_\_\_\_

Note: If you are not the Account Holder please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.

**Capacity:** \_\_\_\_\_